



Policy on Order Receipt and Execution:

- On receipt of order from client, we shall first confirm the UCC and Client Name, before accepting the order.
- Furthermore, we undertake only limited number of clients. We will be allocating the clients among our authorized users and they will be instructed to entertain only those clients which pertains to their allocation.
- We maintain evidence of client placing / modifying / cancelling orders by :
 - We have voice-recording telephone system – wherein the clients' orders are recorded.
 - All the order placements/ modification is recorded on the computer screens.
- We do not place discretionary trades on behalf of the client.
- We have a trade confirmation mechanism in place under which we give necessary feedback/confirmation to all those clients whose trades have been executed.